**MATERIAL TRANSFER AGREEMENT**

**BETWEEN UNIVERSIDAD DE LEÓN**

**And *(Full name of the company) (Recipient)***

[City], [Month] [day], 20(…)

On the one part, Mr. Santiago Gutiérrez Martín, Vice-Rector for Research and Transfer, a position to which he was appointed by the Rector via Resolution dated 21 June 2024, whose powers have been delegated for this purpose by virtue of the Rector's Resolution of the University of León dated 28 June 2024 (BOCyL 4-7-2024), acting on behalf of the University of León by virtue of the powers conferred by Article 50 of Organic Law 2/2023, of 22 March, on the University System, and Article 78 of the Statutes of the University of León, approved by Agreement 243/2003, of 23 October, by the Junta de Castilla y León, with registered office in León, Avda. Facultad de Veterinaria No. 25, and with VAT Registration Number Q2432001B (hereinafter referred to as the “Provider”).

Of the other part, Mr./Ms.[…], with national identity card number […], acting on behalf of [name of the Company], with registered office at [include address], with tax identification number […], duly registered in the Companies Registry of […] with number […] and acting in his/her capacity as […] acting with power to act on the name and on behalf of the [name of the Company] (hereinafter referred to as the “Recipient”).

The Provider and the Recipient are individually referred to hereinafter as the “Party” and collectively as the “Parties”.

Both Parties mutually acknowledge their legal capacity to enter into and be bound by this agreement and to that effect they state the following:

**WHEREAS**

* 1. Universidad de León (the “Provider"), through the [Department/Service/Institute/ Research Group…], conducts research in the field of […] under the supervision of Dr. (…)

As a result of the mentioned research, the Provider is the owner of the material (…) (describe the material), including know-how, intellectual property and copyright rights and the information described in Annex 1 attached to this Agreement and that forms part thereof (hereinafter the “Material”).

* 1. The Recipient is a/an (…) [entity/company] with the following main purposes (…)
  2. Recipient is interested in receiving the Material for the sole purpose of using it in the project detailed and for the purposes described in Annex 2 of this Agreement (hereinafter, the “Project”) and the Provider has agreed to deliver to the Recipient the Material.

Therefore, the Parties enter into this agreement in accordance with the following:

**CLAUSES**

1. **PURPOSE**
   1. The purpose of this Agreement is to establish the terms and conditions that shall govern the transfer of the Material from the Provider to the Recipient, so the Recipient can use the Material for the development of the Project described in Annex 2 to the present Agreement.
2. **USE OF THE MATERIAL**
   1. The Recipient represents and warrants that it shall only use the Material for the purpose of the Project in order to make the research specifically described in Annex 2 to this Agreement.
   2. The Recipient agrees that it shall not be entitled to use, perform tests and / or carry out any other analysis of the Material nor any of its analogs, derivatives, synthesis or mixture of compounds for purposes not described in Annex 2, by itself or through third parties. The Recipient agrees not to make, develop, use, carry out tests and / or analyze the Material in any way for commercial purposes.
   3. The Material shall not be used in research under consulting or license agreements of the Recipient or its scientific and / or employees with individuals, companies or other institutions not bound by this Agreement, without the prior written consent of the Provider.
   4. The Recipient represents and warrants that it will use the Material in compliance with all applicable laws and regulations, including but not limited to health legislation relating to research involving the use of animals. The Parties agree that the Material SHALL NOT BE USED IN HUMANS.
   5. The Material shall be stored and used only at the premises of the Recipient who undertakes not to change the location of the Material or the custody of the same without the prior written consent of the Provider. The Recipient also undertakes to limit access to the Material to those of its employees taking part in the Project who require access to the Material for the fulfilment of their responsibilities thereunder. In this regard, the Recipient ensures that all of its employees having access to Material shall comply with the provisions of this Agreement.
   6. This Agreement does not restrict the right of the Provider to transfer, deliver or distribute the Material to third parties for commercial purposes or not, or to continue their own research and development of the Materials.
   7. The present Agreement does not restrict the right of the Provider to publish, disclose or communicate information related to the Material.
   8. The Recipient acknowledges that the Provider does not grant any license to commercial use of the Material and that it may grant licenses to third parties for commercial purposes on an exclusive or non-exclusive basis.
3. **DELIVERY AND TRANSFER OF THE MATERIAL**
   1. The Provider shall deliver the Material, know-how and information described in Annex I, within the next (…) calendar days from the date of the signing of this Agreement to the following address:

Full name (…)

Postal address

OPTION 1:

1. **CONSIDERATION**
   1. As consideration for the delivery of the Material, the Recipient shall pay to the Provider, upon presentation of the invoices by the Provider, the following amounts:

[Amount and payment to be determined]

OPTION 2:

**4. CONSIDERATION**

4.1 The Parties agree that the only financial obligation under this Agreement for the Recipient shall be the payment of the costs, handling, packaging and transport of the Material from the premises of the Provider to the Recipient.

1. **LIABILITIES**
   1. The Material is experimental in its nature and it is transferred without any kind of warranty, express or implied, including but not limited to commercial warranties or fitness for a particular purpose. The Provider makes no representations regarding the non-infringement of any patent, copyright or any other proprietary rights of a third party by the Material. Unless otherwise expressly stated in this Agreement, the Recipient has no rights over the Material or any of its compounds.
   2. The Provider shall not be liable for any damages arising from the use of the Material by the Recipient and/or its employees. Upon delivery of the Material, the Recipient shall indemnify and hold the Provider harmless for any loss, claim, damage or liability, which may arise from, the use, handling, storage or disposal of the Material by the Recipient and/or its employees unless loss, claim, damage or liability arises due to negligence or wilful misconduct of the Provider.
2. **TERM**
   1. The present Agreement shall be valid for a term of (...) [[1]](#footnote-1) from the date the Material is received by the Recipient. However, the obligations of confidentiality and non-use of Confidential Information by the Parties shall not be extinguished and will remain in force:

Option 1.- As long as the confidential information is not in the public domain unless the Recipient has infringed its obligations.

Option 2.- For a term of (…) years to be counted from the last disclosure of confidential information.

* 1. The Parties may extend the term of the present Agreement, if the desired results have not been achieved by the end of the term and / or the parties want the Agreement to be extended. In the event of an extension, the Parties shall sign an extension of the Term before its ending. The present Agreement cannot be assigned neither by virtue of any law nor by any other means, without the prior consent in writing of the Provider.
  2. This Agreement is not transferable, either by law or otherwise, without the prior consent in writing of the Provider.

1. **RESULTS, INTELLECTUAL PROPERTY AND COPYRIGHT**
   1. The Recipient shall inform the Provider, in writing and confidentially, of the results of the research carried out with the Material each (...) months.
   2. The Recipient shall notify immediately to the Provider in the event that a result could be legally protected.
   3. The Recipient acknowledges that all intellectual property rights or copyright over the Materials including any extract or replica thereof, are the exclusive property of the Provider.
   4. The Parties agree that nothing in this Agreement shall be construed as an assignment or transmission of any intellectual property rights or copyright related to the Material in favour of the Recipient or a third party, including without limitation any and all patents, utility models, industrial design, trade secrets, and any other worldwide intangible or tangible right related to Material belonging to the Provider which are not expressly granted herein.
   5. The Material is or may be the subject of a patent application or other legal rights recognized to the Provider.

NOTE: choose one option[[2]](#footnote-2) :

OPTION 1

If, as a result of the execution of the Project by the Recipient over the Material, a result that may be legally protected is developed and the Recipient has had a relevant role in the development, the Parties agree to conclude a document regarding the ownership of any copyright and / or intellectual property rights and the scope for the exploitation of the results.

OPTION 2:

If, as a result of the execution of the Project by the Recipient over the Material, a result that may be legally protected or commercially exploded is developed (hereinafter, the “Results”), Results shall be the property of both Parties to (...)% (determine the percentage) / exclusively owned by the Recipient / exclusively owned by the Provider[[3]](#footnote-3). However, the Recipient shall grant the Provider a free license, worldwide, non-transferable, and on a non-exclusive basis, to use the Results obtained by the Recipient for the development of any research (but not for commercial purposes).

* 1. In any event, regarding the protection of intellectual property or copyright rights relating to the Results, the Parties shall respect at all times the moral rights of the inventors or authors, according to the applicable law in force.

1. **CONFIDENTIALITY**
   1. Both Parties agree not to disclose, under any circumstances, the scientific, technical and / or business information belonging to the other Party to which they had access within the framework of this Agreement, and in particular undertake to not disclose data and information relating to the Material.
   2. The confidentiality obligation hereinabove mentioned shall not apply if:

* The information was already known by the Party receiving the information prior to the starting of their cooperation, as long as there is evidence of such knowledge.
* The information received is in the public domain or comes into the public domain through means different to an infringement of the confidentiality obligation stated in clause 8.1 above.
* The Party receiving the information obtains the prior consent in writing for its disclosure by the Party disclosing the information
* The Party has received the information legally from a third party.

Without prejudice to the foregoing, the Party receiving the confidential information from the other Party may disclose it as a result of an administrative or court order, as long as Party requested to disclose the information has previously notified the other Party and has given the other Party (if possible) the opportunity to oppose to the necessity of such disclosure and/or it has been given the opportunity to request any injunction or protective measure so any confidential information is disclosed only for the purpose of such order.

* 1. Each Party warrants that all its employees shall be obliged to know and maintain the confidentiality obligation stated in the present clause.

1. **PUBLICATIONS**
   1. In the event that the Recipient wants to use, partially or totally, the Results related to the Material for its disclosure by any means, the Recipient shall request the authorization of the Provider so that the Provider can adequately protect its rights over the Material as well as the intellectual property rights and / or copyright that may be affected by the disclosure.
   2. Upon reception of such notification, the Provider shall reply within forty five (45) days, providing its authorization, its objections, or its disagreement with such publication. Any objection or disagreement shall be based on reasonable and justified grounds. The absence of any reply within the abovementioned period shall be deemed as an authorization of the proposed disclosure.
   3. In any publication of the results, there shall be an appropriate acknowledgement to the researchers of the Provider whose contribution to obtain the Material has been relevant. The Recipient shall not use the name of the Provider for commercial or marketing purpose unless expressly authorized by the Provider.
2. **TERMINATION**
   1. In addition to the cases specifically regulated by the applicable legislation in force, the Agreement shall be terminated in the following cases:
3. By the expiration of the contractual term agreed.
4. At any time, by mutual agreement in writing.
5. By the breach by a Party of any of the obligations under the Agreement, as long as such breach is not remedied within a maximum period of thirty (30) days after written request for the remedy, unless such breach is irreparable or makes impossible the fulfillment of this Agreement to the complaining Party, in which case the termination may be immediate, and in any case without prejudice to any claim for damages that may correspond to either Party.
   1. Upon termination of the Agreement, the Material shall be either returned to the Provider or destroyed, following the instructions of the Provider. Where appropriate, the costs derived from the return will be borne by the Receiving Party.
6. **APPLICABLE LAW AND JURISDICTION**

Both parties undertake to resolve in a friendly manner any disagreement that may arise in the development or interpretation of this Agreement.

This Agreement will be governed by Spanish legislation and, in the event of a conflict, both parties agree to submit to the Courts of León for all purposes. Notwithstanding the foregoing, the Provider will retain the right to claim the corresponding compensation, before the courts of any jurisdiction that is relevant, for the protection of their rights or for unauthorized disclosure of information or improper use thereof.

1. **NOTIFICATIONS**
   1. Communications between the Parties shall be done to the following addresses:

**Universidad de León: ... (Provider)**

To the attention of: Mr.…

Postal address: ……………

E-mail: [ ]

Tel.: [ ]

**(Recipient)**

To the attention of: Mr.…

Postal address: ……………

E-mail: [ ]

Tel.: [ ]

* 1. Any communication sent to the addresses stated in the preceding paragraph shall be considered as properly made, provided there is proof of its shipment and receipt, unless the addressee has previously notified in writing to the other Party a change of its address.

1. **DATA PROTECTION**

All parties undertake to process personal data in accordance with the provisions of current legislation on the protection of personal data (Regulation (EU) 2016/679, of April 27th and Organic Law 3/2018, of December 5th, Protection of Personal Data and guarantee of digital rights).

In witness whereof, the Parties have executed two copies of the Agreement, in the place and on the date first above mentioned.

|  |  |
| --- | --- |
| For and on behalf of Universidad de León | For and on behalf of \_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Vice-Rector for Research and Transfer  Mr. Santiago Gutiérrez Martín | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Mr./Ms. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| The researcher supplying the material | The investigator receiving the material |
| Mr./Ms \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, con DNI | Mr./Ms \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, con DNI |
|  | Collaborators of the researcher receiving the material |
|  | D. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, con DNI |

|  |  |
| --- | --- |
| **ANEXO 1 – MATERIAL** | **ANNEX 1 – MATERIAL** |
|  |  |
| **ANEXO 2 – PROYECTO** | **ANNEX 2 – PROJECT** |

**ANEXO ECONÓMICO / FINANCIAL APPENDIX**

TÍTULO ................................

TITLE ................................

INVESTIGADOR RESPONSABLE / RESEARCHER: ................................

**1.- INGRESOS / INCOME:**

**1.1. Importe del Contrato / Sum covered by contract (A)**

1.2. I.V.A. /V.A.T. \_\_\_ % A\*21/100 **(B)**

1.3. TOTAL / TOTAL A+B

**2.- GASTOS DE EJECUCIÓN / PROJECT COSTS:**

A) Personal ULE (Investigadores y PAS) / ULE personnel (resarchers and administrative/service staff):

*Subtotal personal ULE /Subtotal ULE personnel*

B) Nuevo Personal (Contratados) / New personnel (contracted personnel):

*Subtotal nuevo personal /Subtotal new personnel*

2.1. Personal (A + B)

Personnel (A+B)

2.2. Material Inventariable (incluido mat. bibliográfico)

Inventoried items (including bibliographical material)

2.3. Material Fungible

Consumables

2.4. Viajes y Dietas

Travel and expenses

2.5. Otros Gastos

Other expenses

***Suma de gastos / Total costs* A\*100/115 (C)**

2.6. Retención ULE G. Gener.(15 % de la suma de gastos)

ULE General Expenses Deduction (15 % of total costs) C\*15/100 **(D)**

**TOTAL (**debe ser igual al importe del contrato 1.1**)**

**TOTAL** (This should be equal to the sum covered by the contract in 1.1) **(A) (=C+D)**

León, \_\_\_ de \_\_\_\_\_\_\_\_\_\_\_\_\_\_ de 20 \_\_\_

Fdo.: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Usual term: one year or the term of the project. (usually short-term) [↑](#footnote-ref-1)
2. Guide: Option 1 is recommended as a standard to facilitate the delivery of the material, although there is a risk that an agreement may not be reached later. [↑](#footnote-ref-2)
3. The property of the Results may depend on the interests, bargaining power of each party and the purpose of the agreement. The percentage depends on the contributions of the parties and / or the interest of each party in the commercial exploitation of the results eventually. [↑](#footnote-ref-3)